PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Until the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/773,116 TRANSMITTAL January 31, 2001 Filing Date **FORM** Dempsey, et al. RECEIVED First Named Inventor (to be used for all correspondence after initial filing) 2122 DEC 1 2 2002 **Group Art Unit** NYA **Examiner Name** Fechnology Center 2100. · i Attorney Docket Number CEO-011.01 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Change of Docket Number Other Enclosure(s) Extension of Time Request (please identify below): Information Disclosure ___ Terminal Disclaimer Statement Under 37 CFR 1.97 Express Abandonment Request Request for Refund International Search Report; References AA - AD; Certificate Information Disclosure Statement by of First Class Mail; and Return CD, Number of CD(s) Applicant ((Form 1449A) Receipt Postcard. Certified Copy of Priority Customer No. 25181 Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

1.52 or 1.53

Individual name

Signature

Kevin A. Oliver, Reg. N. 42,049

December 6, 2002

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Date





PATENT

Attorney Docket No.: CEO-011.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dempsey, et al.

Examiner: NYA

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Application No: 09/773,116

Art Unit: 2122

DEC 1 2 2002

Technology Center 2100

Filed: January 31, 2001

For: Retraining Trainable Data Classifiers

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 6, 2002.

Kristen Willett

Kristen Willett

Commissioner for Patents Washington, DC 20231

Sir:

Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Information Disclosure Statement Under 37 CFR 1.97 (2 pgs.);
- 3. Information Disclosure Statement By Applicant (Form 1449A) (1 pg.);
- 4. International Search Report (4 pgs.);
- 5. References AA AD; and
- 6. Return Receipt Postcard.



PATENT
Attorney Docket No.: CEO-011.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Dempsey, Derek M., et

al.

Application No: 09/773,116

Filed: January 31, 2001

For: Retraining Trainable Data Classifiers

Examiner: M. HOCMIES

Art Unit: 2122 217)

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Commissioner for Patents Washington, DC 20231

Sir:

Submitted herewith on Form PTO-1449A is a listing of documents identified in an International Search Report (ISR) in a counterpart PCT application in compliance with the requirements of 37 CFR 1.56. A copy of each reference is also being submitted. This submission is being provided prior to the mailing of a first Office Action

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 06-1448**, **Reference CEO-011.01**.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1241.

Date: December 6, 2002

Customer No: 25181
Patent Group
Foley, Hoag LLP
World Trade Center West
155 Seaport Boulevard

Boston, MA 02210-2600

Respectfully Submitted,

Kevin A. Oliver Reg. No. 42,049

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